### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jeff S. EDER

Application No. 10/025.794 Group Art Unit: 3624

Filed: December 26, 2001 Examiner: Richard Weisberger

For: PROCESS OPTIMIZATION SYSTEM

#### INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendments Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Pursuant to 37 CFR 1.97 and 1.98, the references listed on the enclosed Form PTO - 1449 and/or Substitute Form PTO - 1449 ("Form 1449") are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form 1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form 1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior an should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed:

×	within any one of the following time periods: (a) within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); (b) within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 of an international application; (c) before the mailing date of a first Office Action on the merits; or (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.
	after (a), (b), (c) or (d) above, but before the mailing date of a final action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and includes one of:
	the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below) or
	$\hfill\Box$ the fee of \$180 set forth in 37 CFR 1, 1 7 (p) (see "Fees" below).
	after the mailing date of a final action under 37 CFR 1. 113 or a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and on or before payment of the issue fee, and includes the Statement under 37 CFR 1.97(c) (see "Statement under 37 CFR 1.97(e)" below), and the fee of \$180 as set forth in 3 7 CFR 1. 17(p) (see "Fees" below).
	after the mailing date of a Notice of Allowance under 37 CFR 1.311, and on or before payment of the issue fee, and within thirty days of receiving each item of information contained in the Information Disclosure Statement, and includes the Statement under 37 CFR 1.704(d) (see "Statement under 37 CFR 1.704(d)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below), NOTE., This is for original applications except applications for a design patent filed on or after May 29, 2000, wherein a paper containing only an Information Disclosure Statement in compliance with 37 CFR 1.97 and 1.98 is being filed.
Copie	s of the References
×	Copies of all of the references listed on the enclosed Form 1449 are being uploaded to the PTO.
	This patent application was filed after June 30, 2003. Accordingly, copies of U.S. patents and patent applications that are listed on the accompanying Form 1449 are not enclosed herewith (see Official Gazette Notice of August 5, 2003). Copies of other references identified on the accompanying Form 1449 are enclosed herewith.
	Attached to each reference not in the English language is a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3). An English-language equivalent/patent, or an English-language bestract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of

	relevance found by the foreign office is being submitted in lieu of a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3).						
	A copy of the foreign search report is enclosed herewith.						
	Many of the references listed on the enclosed Form 1449 were previously identified in the parent application(s) of the present application, and copies of the references were furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining Procedure. In accordance with 37 CFR 1.98(d), the details of the parent application(s) relied upon for an earlier filling date under 35 USC 120 in which copies of the references were previously furnished are set out below:						
	U.S. APPLI	CATIONS	s	tatus (check o	onel		
_	U.S. APPLICATIONS	U.S. Filing Date	PATENTED	PENDING	ABANDONED		
1.							
2. 3.							
Statem	The undersigned hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.						
X	The undersigned hereby states that no Item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.						
Staten	tatement under 37 CFR 1.704(d)						
	The undersigned hereby states that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.						

Fees

No fee is owed by the assignee(s).

The IDS Fee of \$180 under 37 CFR i. I 7(p) was paid on 3/22/06 at the time of original submission (see below).

The Assignee would also like to inform the Examiner that all previously submitted references have been scanned to pdf files. As a result, any missing document(s) can now be uploaded to the files upon receipt of a request to do so. Please send any request for any reference that has been "lost" in the U.S.P.T.O. to <a href="mailto:ipcommittee@asset-trust.com">ipcommittee@asset-trust.com</a>. In most cases the document(s) will be sent the same day the email is received.

This IDS form is being resubmitted because the payment for the IDS form sent of March 21, 2006 was processed on March 22, 2006 but the form that was faxed at the same time does not appear in the official files.

Respectfully submitted,

/B.J. Bennett/

B.J. Bennett, President Asset Trust, Inc.

Date: April 8, 2006

PTO/SB/08A (04-03)

Approved for use through 84/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid QMB control number Complete if Known

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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)

Sheet 1

Application Number	10/025,794	
Filing Date	12/26/2001	
First Named Inventor	Jeff S. Eder	
Art Unit	3624	
Examiner Name	Richard Weisberger	
Attorney Docket Number	AR - 28	

Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
minuais	NO.	Number-Kind Code <sup>2</sup> (F Anown)	MNEDDETTTT	Applicant of Cited Document	Figures Appear
		<sup>US-</sup> 5,224,034 - B1	06-29-1993	Katz, Paul	
		<sup>US-</sup> 5,237,946 - B1	08-17-1993	Kagami, Akari	
		us- 5,128,861 - B1	07-07-1992	Kagami, Akari	1
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		US- 5,361,201 - B1	11-01-1994	Jost, Allen et al	
		US- 3.933,305 - B1	01-20-1976	Murphy, John Michael	
		us- 4,989,141 - B1	01-29-1991	Lyons, Richard	
		<sup>US-</sup> 5,317,504 - B1	05-31-1994	Nakayama, Mamoru	
		US- 5,414,621 - B1	05-09-1995	Hough, John	
		<sup>US-</sup> 5,193,055 - B1	03-09-1993	Brown, Gordon	
		us- 5,809,282 - B1	09-15-1998	Cooper, Dennis	
		<sup>US-</sup> 5,875,431 - B1	02-23-1999	Heckman et al	· ·
		US- 5,737,581 - B1	04-07-1998	Keane, John	
		US- 5,812,988 - B1	09-22-1998	Sandretto, Michael	
		us- 6,125,355 - B1	09-26-2000	Bekaert et al	
		<sup>US-</sup> 6,173,276 - B1	01-19-2001	Kant, Elain	
		<sup>US-</sup> 6,112,188 - B1	08-29-2000	Harnett, William	<u> </u>
		<sup>US-</sup> 6,134,536 - B1	10-17-2000	Shepherd, lan	

		FORE	GN PATENT DOCU	IMENTS		
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentse or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>3</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	76
		EP 0 587 290 A2	03-16-1994	Powers et al		
		GB 2 253 081 A	02-19-1992	Kaisha, Kabushi		
		WO 983 8588	02-28-1997	Mayon & White		
	<u> </u>					

Examiner	Date	
Signature	Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete. including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the includinal case. Any comments on the amount of time you require to complete this form endfor suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, Oct 20231. DO NOT SEND FEES OF COMPLETE DEPARTMENT OF THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Sheet

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Application Number	10/025,794
Filing Date	12/26/2001
First Named Inventor	Jeff S. Eder
Art Unit	3624
Examiner Name	Richard Weisberger
Attorney Docket Number	AR - 28

Complete if Known

Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code <sup>2</sup> (* Inown)			Figures Appear
		<sup>US-</sup> 6,332,163 - B1	12-18-2001	Bowman-Amuah, Michel	
		<sup>US-</sup> 5,802,501 - B1	9-1-1998	Graff, Richard A.	
		<sup>US-</sup> 4.839,304 - B1	6-13-1989	Roberts et al	
		<sup>US-</sup> 6,148,293 - B1	11-14-2000	Douglas L. King	
		<sup>US-</sup> 5,742,775 - B1	04-21-1998	Douglas L. King	
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		us- 2002/0016758-A1	02-07-2002	Grigsby, Calvin	
		<sup>US-</sup> 6,189,011 - B1	2-13-2001	Lim et al.	
		<sup>US-</sup> 6,209,124 - B1	3-27-2001	Vermeire et al.	
		<sup>US-</sup> 6,366,934 - B1	4-02-2002	Cheng et al.	
		US- 6,282,531 - B1	8-28-2001	Haughton et al.	
		us- 6,738,753 - B1	5-18-2004	Hogan, Michael	
		us- 5,774,873 - B1	03-29-1996	Berent, Thomas	
		<sup>US-</sup> 5,794,219 - B1	08-11-1998	Brown, Stephen	
		<sup>US-</sup> 5,668,591 - B1	03-29-1995	Shintani, Peter	
		<sup>US-</sup> 20030083973- A1	5-1-2003	Horsfall, Peter	

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Examiner nitials*	Cite No.	Foreign Patent Document	- Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	ı
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This collection of information is required by 37 CFR 1-97 and 1-98. The information is required to obtain or relain a benefit by the public which is to file (and by the URFFO to process) an application. Confidentially its government by 38 U.S.C. 122 and 37 CFR 1.1. This collection is nesimitted to false 2 hours to complete, including gathering, preparing, and submitting the completed application from to the USFPO. Time will vary depending upon the includual case. Any comments on the amount of the you require to complete this form ander suppressions for decisioning this branch, should do sent to the Orbif Information Land Trademark Office, P.O. Box 1450, Abscandrid, VA 2231-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO. Commissioner for Pations, P.O. Box 1450, Abscandrid, VA 2231-1460.

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Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (Fireway)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 6,078,901 - B1	6-20-2000	Ching, Hugh	
		US- 5,644,727 - B1	07-01-1997	Atkins, Charles Agee	
		<sup>US-</sup> 5,704,055 - B1	12-30-1997	George et al	
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	Г	<sup>US-</sup> 6,278,981 - B1	08-21-2001	Dembo et al	
		<sup>US-</sup> 5,191,522 - B1	03-02-1993	Bosco et al	
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		US- 6,263,314 - B1	07-17-2001	Donner, Irah H.	
		<sup>US-</sup> 6,092,056 - B1	07-18-2000	Tull Jr. et al.	
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		US- 5,819,237 - B1	10-06-1998	Garman, Mark	
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	П	<sup>US-</sup> 6,732,095 - B1	05-04-2004	Warshavsky et al.	

		FORE	IGN PATENT DOCU	MENTS		_
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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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Art Unit	3624	
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Initials*	No.	Number-Kind Code <sup>2</sup> (************************************	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 5,819,237 - B1	10-06-1998	Garman, Mark	
	ī	<sup>US-</sup> 6,026,397 - B1	02-15-2000	Sheppard, Colin	
	П	<sup>US-</sup> 6,453,297 - B1	09-17-2002	Burks, James	
		<sup>US-</sup> 6,249,768 - B1	06-19-2001	Tulskie, William	
		<sup>US-</sup> 6,546,381 - B1	04-08-2003	Subramanian, Narayan	
		<sup>US-</sup> 6,795,811 - B1	09-21-2004	Epstein, Lee	
		<sup>US-</sup> 6,073,115 - B1	06-06-2000	Marshall, Paul	
		<sup>US-</sup> 6,876,992 - B1	04-05-2005	Sullivan, Andrew	
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Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if knows)	MM-DD-YYYY		Or Relevant Figures Appear	T®
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Substitute for form 1449/PTO		Complete if Known
Substitute for fair 14437 10	Application Number	10/025,794
INFORMATION DISCLOSURE	Filing Date	12/26/2001
STATEMENT BY APPLICANT	First Named Inventor	Jeff S. Eder
(Use as many sheets as necessary)	Art Unit	3624
(Use as many should be necessary)	Examiner Name	Richard Weisberger
Sheet of	Attorney Docket Number	AR - 28

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	include name of the author (in CAPITAL LETTERS), tille of the article (when appropriate), tille of the ilem (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	1	ZIPP, ALAN S.; Busíness valuation methods; 1993, AICPA	
	2	DAVIDOW, WILLIAM; Accounting systems are completely wrong, January 1995,Red Herring	
	3	MCTAGGERT, JAMES, KONTES, PETER AND MANKINS, MICHAEL, The value imperative, 1994,The Free Press	
	4	RAPPAPORT, ALFRED; Creating shareholder value; 1986, The Free Press	
	5	RITCHKEN, PETER, Options, Theory, Strategy and Applications, 1987, Foresman and Company	
	6	DIXIT, AVINASH & PINDYCK, ROBERT; Investment under uncertainty: 1994; Princeton University Press	
	7	GARSON, DAVID; Interpreting neural network connection weights, 4/1/1991, AI Expert	
	8	WELLSTEAD, STEPHEN; Neural network and fuzzy logic applications in C/C++; 1994; John Wiley & Sons	
	9	MOST, KENNETH; Accounting theory; 1977, Grid, Inc.	
	10	HENDRIKSEN, ELDEN, Accounting theory, 1982, Richard D. Irwin	

Examiner	Date	
Signature	Considered	
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPER 60	Oraw line through o	tration if not in conformation and not

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Sheet of	Attorney Docket Number	AR - 28

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	11	KULKARNI, ARUN; Artificial neural networks for image understanding; 1/1/1994; Van Norstrand Reinhold	
	12	WARD SYSTEMS GROUP; NeuroWindows User Manual; 1993; Wards Systems Group	
	13	BREALEY, RICHARD & MYERS, STEWART; Principles of Corporate Finance; 1991; McGraw Hill	
	14	FAULKNER, TERRENCE; Applying options thinking to R&D valuation; 5/1/1996; Research Technology Manage	
	15	MILLER, MERTON & MODIGLIANI, FRANCO, Dividend policy, growth and the valuation of shares, 10/1/1961,	
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	17	WILSON, ALBERT, Emerging approaches to impaired property valuation, 4/1/1996, Appraisal Journal, v64	
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Richard Weisberger

AR - 28

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control numb Complete if Known Substitute for form 1449/PTO Application Number 10/025.794 INFORMATION DISCLOSURE Filing Date 12/26/2001 STATEMENT BY APPLICANT First Named Inventor Jeff S. Eder Art Unit 3624 (Use as many sheets as necessary) Examiner Name

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Substitute for form 1449/PTO	Complete if Known		
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INFORMATION DISCLOSURE	Filing Date	12/26/2001	
STATEMENT BY APPLICANT	First Named Inventor	Jeff S. Eder	
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y Substitute for form 14431-10	Application Number	10/025,794	
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STATEMENT BY APPLICANT	First Named Inventor	Jeff S. Eder	
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